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“At risk mental state” clinics for psychosis - An Idea Whose Time Has Come - and Gone!

4 Olesya Ajnakina¹, Anthony S. David¹, Robin M. Murray^{1,2}

6 ¹ Institute of Psychiatry, Psychology and Neuroscience, King's College London, De Crespigny
7 Park, London, UK

8 ² Department of Psychiatry, Experimental Biomedicine and Clinical Neuroscience (BIONEC),
9 University of Palermo, Palermo, Italy

11 Address for correspondence: Dr Olesya Ajnakina, Institute of Psychiatry, Psychology &
12 Neuroscience, King's College London, 16 De Crespigny Park, London, SE5 8AF, UK. Tel: +44
13 (0)20 7848 0518, fax: +44 (0) 20 7848 0287, e-mail: olesya.ajnakina@kcl.ac.uk.

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18 NEED TO MENTION THE BRC

Introduction

The idea of early intervention (EI) services for people suffering their first episode of psychosis (FEP) was conceived as a way to improve the long-term outcomes of the illness (Falloon, 1992; Falloon *et al.*, 1996). Indeed, the results of EI services, such as the Lambeth Early Onset (LEO) (Craig *et al.*, 2004) and OPUS (Hastrup *et al.*, 2013), have been encouraging and led to such services becoming widely established. In a further extension of the idea, specific clinical criteria were proposed to identify people who were at high clinical risk of developing psychosis in the subsequent 1-2 years (Yung *et al.*, 1996; Yung *et al.*, 2006). The definition of this pre-psychosis phase in which people manifested the At Risk Mental State (ARMS) (Fusar-Poli *et al.*, 2013) was followed by claims that identification of such individuals who were at ultra-high risk (UHR) of developing psychosis, provides a valuable opportunity to prevent a substantial proportion of pre-psychotic individuals from transitioning to clinical psychosis (Yung *et al.*, 2005). Subsequently, detection of young people with the ARMS has become a popular prevention strategy (Reddy, 2014) with the creation of ARMS clinics in ~~many~~ countries ~~around the world~~ (Addington *et al.*, 2008; Cannon *et al.*, 2016; Fusar-Poli *et al.*, 2013; Yung *et al.*, 2006).

ARMS clinics are specialised mental health services for help-seeking people, who are usually aged 14-35 years old and considered to be at UHR of developing psychosis. The stated purpose of these clinics is to reduce, or deter, transitions from the ARMS state to clinical psychosis (Fusar-Poli *et al.*, 2013; Green *et al.*, 2011). Many studies of ARMS clinics report evidence for their benefits and provide “evidence-based recommendations” or “guidance” for the treatment of such individuals (Killackey and Yung, 2007). However, the strength of such claims has not been established (Morrison *et al.*, 2012). The purposes of this article are two-fold. First, we sought to review the robustness of the claims that ARMS clinics have the capacity to prevent transition to psychosis; and second, we aimed to raise the question of whether it may be more beneficial for prevention of psychosis to adopt a public health

approach, which in turn would target risk factors for the illness onset rather than focusing on the ARMS phase.

Defining the At Risk Mental State (ARMS) phase

The ARMS phase is characterised by either 'attenuated' psychotic symptoms, or full-blown psychotic symptoms that are brief and self-limiting (Fusar-Poli *et al.*, 2013). It may also manifest as a significant decrease in functioning in the context of a familial (presumed genetic) risk for schizophrenia, or subtle subjective disturbances of cognitive processes, thinking, perception, moods and behaviours (Yung *et al.*, 2003; Yung *et al.*, 2006). To increase objectivity and diagnostic accuracy of this construct, several scales, such as the Comprehensive Assessment of At-Risk Mental States (CAARMS) (Yung *et al.*, 2005) and the Structured Interview for Prodromal Syndromes/Scale of Prodromal Symptoms (SIPS/SOPS) (Miller *et al.*, 2003; Woods *et al.*, 2009) have been designed to measure these symptoms with arguably reasonable inter-rater reliability (Loewy *et al.*, 2011).

However, it has been reported that as many as 84% of those individuals who were identified as being at risk for the illness using these scales did not develop a psychotic disorder within 2-3 years (i.e., these individuals are normally referred to as "false positives") (Corcoran *et al.*, 2010). Even when the diagnosis of the ARMS was made by experienced clinicians, the false-positive rate remained substantially high (47%) (Yung *et al.*, 2008). This may suggest that the difficulty in identifying individuals with the ARMS lies in defining the construct. Indeed, it has been shown that the proportion of adolescents who meet criteria for the ARMS varies from 0.9% to 22.6% depending on slight variations in the ARMS criteria (Kelleher *et al.*, 2012). Furthermore, attempts to identify specific biological markers of the ARMS phase and predictors of a transition from the ARMS to clinical psychosis have been unsuccessful (Castle, 2012; Wood *et al.*, 2013). It has therefore been argued that early

70 intervention on the basis of the screening criteria for subclinical psychosis is not feasible in
71 the general population (van Os, 2005).

72

73 *Services for the ARMS phase create useful pathways to care – but for whom?*

74 Most clinics for young people who meet criteria for the ARMS, accept referrals via a wide
75 range of means including mental and non-mental health professionals, and non-health
76 organisations (Fusar-Poli *et al.*, 2013; Green *et al.*, 2011). These teams attempt to respond to
77 all referrals and conduct the first assessment within the first week of the referral being made.
78 This is considerably shorter than most psychiatric services can offer. For those patients who
79 are judged to meet criteria for the ARMS, the services provide a 2-3 year treatment plan
80 (Green *et al.*, 2011).

81 However, there is a question of whether individuals who contact the ARMS services and meet
82 criteria for the ARMS are representative of all pre-psychotic individuals. For example, Ajnakina
83 *et al.* (2017) showed that those with the ARMS, who attended an ARMS clinic in South-London
84 and later developed clinical psychosis, were more likely than those FEP patients who had not
85 attended such a clinic, to be born in the UK and have strong family support, with migrants
86 being less likely to access the services. Others showed that the young people who met criteria
87 for the ARMS and attended an ARMS clinic were likely to be employed and have higher
88 educational achievements (Addington *et al.*, 2012; Valmaggia *et al.*, 2015).

89 The reason for such differences is likely to be that the ARMS services require individuals to
90 be help-seeking. Migrants and ethnic minorities are well-known to be less trusting of mental
91 health services than those from the host population (Morgan *et al.*, 2006). Availability of
92 supportive families and strong social networks, which are frequently absent in those with
93 clinical psychotic illness (Sundermann *et al.*, 2014), are also important factors for help-
94 seeking (Morgan *et al.*, 2006). Moreover, to recognise “not-quite-psychotic” symptoms, the
95 potential patients, or their relatives, need to have some knowledge

of such symptoms plus insight into their potential illness significance. It is not surprising, therefore, that those patients who have been accepted under the care of the ARMS services have better insight compared to psychosis patients who do not reach these services (Lappin *et al.*, 2007). Another reason why prodromal samples cannot be representative of all pre-psychotic individuals is that some patients present so acutely (Ajnakina *et al.*, 2017) that even if they were willing to accept help there is no time to intervene (Shah *et al.*, 2017). Therefore, the evidence suggests that under current pathway configurations, services for those who meet the criteria for the ARMS appear to attract a subgroup of pre-psychotic individuals who are atypical of all those people who will develop FEP. This in turn should raise some doubts as to whether some of the benefits claimed for ARMS clinics (Valmaggia *et al.*, 2015) are actually a reflection of the population attracted to the ARMS clinics, rather than the care offered by the clinics. The nature of the unselected, representative and non-help seeking population samples remains unknown.

Have the transition rates fallen?

Early studies reported that 30-54% of those with the ARMS went on to develop full psychotic disorder in the following 12-24 months (Fusar-Poli *et al.*, 2012; Miller *et al.*, 2002; Yung *et al.*, 2003). Some more recent reports, however, have suggested that the transition rates from the ARMS phase to clinical psychosis are [as low as](#) 8-17% within a 2-year period (Morrison *et al.*, 2012; Carrion *et al.*, 2016; Conrad *et al.*, 2017; Malla *et al.*, 2017). It is possible that the reduced reported transition rates may be an outcome of successful interventions implemented by ARMS clinics (McGorry *et al.*, 2006; Nelson *et al.*, 2016).

However, it is likely that the reduced estimated transition rates are, at least in part, a consequence of other factors such as changes in characteristics of the sample or their pathways to care (Wiltink *et al.*, 2015) as well as different definitions of what constitutes transition to psychosis employed across studies (van Os and Guloksuz, 2017). Further, van

122 Os (2005) highlighted that the high positive predictive values presented by some studies when
123 predicting the transition from the ARMS phase to clinical psychosis (Miller *et al.*, 2002; Yung
124 *et al.*, 2003) are actually an outcome of the sample enrichment that results from the
125 mainstream sample selection procedures. This in turn leads to spuriously increased incidence
126 and predictive values (van Os, 2005). In fact, when the transition rate was estimated based
127 on the actual prevalence of the ARMS in the general population it was shown to be around
128 1% (van Os, 2005).

129 Another important reason why transition rates are lower than previously reported may
130 be that the identified pre-psychotic patients are diluted in more recent studies by large
131 numbers of patients with other psychiatric problems. This may be due to referrers realising
132 that the clinics provide an opportunity for a rapid clinical assessment of distressed young
133 people. A recent review suggested that over 80% of individuals referred as “at risk for
134 psychosis” will never develop clinical psychosis (van Os and Reininghaus, 2016). This raises
135 ethical issues relating to medication exposure and stigma among those who were false-
136 positives (Bentall and Morrison, 2002; McGlashan, 2001). Even for those individuals who were
137 identified at UHR by the ARMS services, the evidence for effectiveness of the interventions
138 that these prodromal clinics offer is weak (Castle, 2012).

139

140 *Criticisms of the ARMS Concept*

141 The assumption behind AMRS clinics is that the ARMS state is what van Os and Murray RM
142 (2013) called a “schizophrenia light”: defined according to an (arbitrary) cut-off of psychosis
143 severity or a (similarly arbitrary) diagnostic concept of “schizophrenia spectrum”. People can
144 cross and re-cross this boundary several times (van Os and Murray, 2013). As the
145 expression of psychosis naturally fluctuates in intensity and severity within individuals over
146 time, temporary amelioration of psychosis at the time of the baseline assessment may cause
147 these people to be wrongly assigned to the UHR group rather than the psychotic group.

148 Furthermore, psychotic symptoms are much more common than previously realised.
149 Indeed, they are found in about 5% of the general population, 9% of adolescents, and 25% of
150 people with (non-psychotic) common mental disorders (Linscott and Van Os, 2013; van Os
151 and Reininghaus, 2016; Zammit *et al.*, 2013). Interestingly, one study found that 16% of non-
152 psychotic young people who were assessed and found not to meet the UHR criteria made a
153 transition to clinical psychosis (Carr, 2012). These figures vary depending on different
154 methods of data acquisition [and categorisation](#) (David, 2010). Thus, it is difficult to define with
155 certainty when an individual transits from pre-psychotic symptoms to the ARMS, and at the
156 other end from the ARMS to clinical psychosis (David and Ajnakina, 2016).

157 To further complicate matters, the symptoms that are at the core of the definition of the ARMS
158 phase are frequently present in other mental disorders (Kelleher *et al.*, 2012; van Os and
159 Guloksuz, 2017). Studies of UHR groups show that they consist largely of people with
160 common mental disorders such as anxiety and depression (Addington *et al.*, 2017; Fusar-Poli
161 *et al.*, 2014). Therefore, the presence of psychotic symptoms in themselves should not be
162 seen as an indication of the risk to making the transition to psychosis (Murray and Jones,
163 2012).

165 *ARMS clinics are morphing into clinics for youth mental health*

166 The realisation that the most common diagnoses reported in young people attending the
167 ARMS clinics are anxiety, depression and personality disorders (Kelleher *et al.*, 2012)
168 prompted McGorry, one of the founders of the [ARMS](#) movement, to broaden the scope of such
169 clinics from focussing on those at risk for psychosis to becoming more general outreach clinics
170 for youth who are at risk for any mental disorders (Malla *et al.*, 2016; McGorry *et al.*, 2013).
171 Thus, the idea of specific clinics for pre-psychotic individuals has been replaced with cross-
172 diagnostic youth mental health facilities with much broader and more inclusive (and laudable)
173 purpose of identifying and caring for young people with mental health problems (Malla *et al.*,

174 2016; McGorry *et al.*, 2013). The inclusive concept of youth mental health is broad enough to
175 encompass any potential abnormality and does not require being either severe or specific
176 enough to warrant a clinical diagnosis. This approach has much to commend it but an early
177 evaluation of such services in Australia found that evidence of benefit was
178 inconclusive (Hilferty *et al.*, 2015).

179

180 *A Public Health Approach*

181 Can prodromal clinics ever prevent development of clinical psychosis in a significant number
182 of pre-psychotic individuals? Ajnakina *et al.* (2017) carried out a comprehensive evaluation of
183 FEP patients in an area of South-London which has had a well-developed ARMS service for
184 more than ten years serving the same catchment area. They found that only 4.1% of FEP
185 patients had previously made contact with ARMS services and met the ARMS criteria (most
186 presented to FEP psychosis directly or via other routes). This very low proportion suggests
187 that the scope for ARMS services reducing or postponing the onset of psychosis is limited as
188 is their public health or economic impact (van Os and Guloksuz, 2017).

189 We recognise, of course, that ARMS clinics have provided a valuable source of pre-
190 psychotic patients for research. This in turn has ignited an explosion of research findings
191 (Anticevic *et al.*, 2015; Cannon *et al.*, 2015; Walker *et al.*, 2013). For example, it has been
192 shown that individuals with the ARMS who proceed to develop clinical psychosis have an
193 excess capacity to synthesise striatal dopamine (DA) which increases further as they get
194 nearer to clinical psychosis, compared to healthy controls (Howes *et al.*, 2011) and that cortical
195 volume loss may be accelerated in the months prior to transition (Cannon *et al.*, 2015).
196 Nonetheless, this does imply that the process of developing psychosis has already begun in
197 people with the ARMS. Therefore, it reinforces the point that intervening at this stage may
198 already be too late.

199 The development of ARMS clinics has also increased awareness of a greater opportunity for
200 prevention and early intervention. In medicine, preventive approaches to illnesses such as
201 heart disease, bronchitis, or obesity do not focus on identifying individuals just
202 on the brink of developing the disorder or carrying biological markers for it. Instead, they target
203 the known risk factors for the conditions, and encourage members of
204 the general public to change their behaviour, for example start exercising or reduce calorie or
205 cigarette intake, with the aim of reducing their risk of developing the condition.

206 A similar approach should be adopted for psychosis. Indeed, a number of risk factors for
207 developing psychosis have been identified and replicated. These include obstetric events,
208 childhood adversity, urban birth and upbringing, and adverse life events
209 (Gaag *et al.*, 2016; Radua *et al.*, 2018; Stilo and Murray, 2010). Moreover, a recent large and
210 methodological rigorous study has provided further empirical evidence for the link between
211 risk for psychosis onset and immigration (Jongsma *et al.*, 2018).

212
213 The evidence that cannabis use is an important risk factor for later developing psychotic
214 symptoms and/or psychotic disorder is especially strong (Murray *et al.*, 2016). This risk has
215 been shown to increase linearly with a greater frequency, longer length of use, and the
216 stronger potency of the cannabis used (Di Forti *et al.*, 2014; Marconi *et al.*, 2016). Importantly,
217 it has been demonstrated that a substantial proportion of first episode psychosis cases
218 (24% in London) would have been prevented if no one consumed cannabis of high potency
219 (Di Forti *et al.*, 2015). The risk increasing effects of cannabis extend to individuals
220 who meet criteria for ARMS, reiterating the importance of this risk factor for preventative
221 purposes. Indeed, it has been reported that individuals meeting
222 criteria for ARMS not only have high rates of cannabis use (Carney *et al.*, 2017) but also that
223 those who have used cannabis at least weekly have significantly more severe positive
224 psychotic symptoms than non-cannabis users (Nieman *et*
225 *al.*, 2016).

In the long-term, attempts to reduce exposure to these risk factors for psychosis should be made. Though this will not be easy since the pathogenic mechanism underlying the link between some of these risk factors and psychosis is not yet understood; for example, it is likely that urban living is a proxy for one or more more specific psychotogenic factor(s). Furthermore, it may be very difficult to diminish exposure to some risk factors eg child abuse or migration. However, an obvious place to start is by attempting to reduce society's consumption of high-potency cannabis through public education (Gage *et al.*, 2016; Di Forti *et al.*, 2015). Unfortunately, the legalisation of cannabis for "medicinal" or "recreational" use across states of the USA has been accompanied by an increase in the use and potency of cannabis (Rehm and Fischer, 2015; Heslin et al, 2018). Thus, public policy in North America appears to be moving in the opposite direction. Psychiatrists need to be more vocal in drawing attention to the risks to mental health involved in policies which increase consumption of high potency cannabis.

Conclusion

The idea of identifying individuals before they become unwell is a worthy idea, especially in the era when our treatments for psychosis are far from perfect. However, it is clear that the task of making a major contribution to the prevention of psychosis is beyond the power of the ARMS clinics. A public health approach to prevention of psychosis has the potential to be more effective. Nonetheless, should such the ARMS clinic continue to exist, they face an important challenge in regard to developing pathways which will attract a broader and more representative group of individuals to access their services.

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265

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